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Impacts of mesic and xeric urban vegetation on outdoor thermal comfort and microclimate in Phoenix, AZ

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A R T I C L E I N F O

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ABSTRACT

Urban vegetation is an effective way in mitigating excessive heat and improving the outdoor thermal environment for residents in cities via evaporative cooling. Different urban vegetation forms (mesic or xeric) alter surface energy and water budgets in different ways, which is further complicated by interactions with buildings and anthropogenic controls. Mesic vegetation such as lawns reduces urban temperatures by evaporative cooling but requires large amounts of water for continuous irrigation. On the other hand, xeric vegetation such as shade trees reduces urban temperatures mainly through shading and has low water demand. The objective of this study is to investigate the impacts of different vegetation forms on microclimate in a hot desert city – Phoenix, AZ. We applied an advanced urban canopy model coupled with a single-column atmospheric model to simulate urban boundary layer dynamics over different landscaping scenarios with different combinations of mesic and xeric vegetation forms. We subsequently compared the urban land surface temperatures, near-surface air temperatures, outdoor thermal comfort in the urban canopy layer, as well as atmospheric dynamics (temperature, humidity) in the overlying boundary layer for a set of different scenarios.

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1. Introduction

Urban vegetation has been applied at different spatial scales to extend green spaces in urban areas by constructing public parks, residential yards, and rooftop gardens, etc. [1-3]. Vegetated spaces in urban areas are effective in mitigating the urban heat island (UHI) effect [4-6] and essential to improve people's living environment ecologically and aesthetically [7,8]. Urban vegetation can modify the urban surface energy and water balance through increasing latent heat fluxes through evapotranspiration (ET), reducing net energy absorption by canopy shading, and reducing net heat storage with permeable soils [9]. Mesic vegetation (e.g. urban lawns) is effective to reduce surface and near-surface air temperatures compared with surrounding non-vegetated areas due to increased evapotranspiration, small heat capacity, and radiation attenuation of leaves [10,11]. However, mesic lawns require large amounts of water for irrigation, which is a sustainability challenge especially for arid and semi-arid cities [12,13]. Xeric vegetation (such as low-water demanding shade trees), on the other hand, offers an attractive alternative to ameliorate urban heat problems [14]. Roadside trees improve thermal comfort, owing to decreased shortwave radiation by direct shading and decreased longwave radiation with reduced surface temperature [10,15].

In hot seasons of arid regions, people are likely to experience thermal discomfort and heat stress due to intensive solar radiation and low relative humidity [16]. The heat stress can be relieved by urban vegetation in different ways: (i) grass can add humidity into the air and reduce sensible heat and longwave emission, and (ii) trees can directly shade a pedestrian and limit reflected radiation in the street canyon [17]. In the meanwhile, different vegetation types require different amounts of water for irrigation. Mesic urban landscape usually requires irrigation by sprinklers with high water demand; while xeric trees are often irrigated by individual drip emitters with low water demand [18]. Therefore, the vegetation type needs to be carefully selected in urban planning to achieve the balance between thermal comfort and water consumption. In addition, since urban vegetation modifies the surface energy and water budgets, these modifications may be further reflected in the dynamics of the overlying atmospheric boundary layer (ABL) due to land-atmospheric interactions [19].

In this paper, we selected a hot desert city – Phoenix, Arizona as the study area. Located along the northeast of the Sonoran Desert, Phoenix has warm and dry desert climate with a high temperature







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of at least 38 °C for more than 100 days per year and a low average precipitation of 203 mm annually, and is cooling-dominated in terms of building energy consumption. [13,20]. With large number of clear and calm days, Phoenix is more likely to experience the heat and water stress [21]. According to City of Phoenix Water Services Department, Phoenix is confronting with a number of water risks to its long-term supply due to lack of water sources, continued rapid growth of population, high demand of water consumption induced by the UHI, and uncertainty of future climatic conditions [21–24]. Residential water usage accounts for two-thirds of the total water demand in the Phoenix city, of which 50%–75% is used by single-family units. The majority of water outdoor uses [13].

Despite the increasing research effort devoted to the study of urban vegetation on the environmental sustainability, in particular, UHI and heat stress mitigation, most studies are exclusively focused on the surface and near-surface temperatures [25,26] as well as outdoor thermal comfort in a street canyon or around a single building [17,27,28]. For a more comprehensive understanding of the impact of urban vegetation on local microclimate via landatmosphere interactions, it will be useful if the dynamics of urban boundary layer is included in numerical models. In this study, we investigate the coupled heat and water transport in the soilland-atmosphere continuum, with more extensive vertical dimension, viz. from subsurface to the atmospheric boundary layer (ABL, $\sim 1-3$ km in a desert city). Towards this objective, we will adopt a coupled urban canopy-atmosphere model [19] to study the impacts of urban vegetation forms on local microclimate in the study area. The coupled model constitutes of an advanced urban canopy model (UCM) with realistic representation of mesic grass and shade trees in street canyons and a classical single column model (SCM) for ABL physics. The details of the model physics will be introduced in Section 2. The coupled UCM-SCM framework will be used to simulate different landscaping cases with different combination of mesic and xeric vegetation in the study area. Then the simulated results will be intercompared with dynamic indicators including the urban surface and near-surface temperature, and outdoor thermal comfort in the urban canopy layer, as well as the predicted temperature and humidity in the convective mixed layer and ABL height, presented in Section 3.

2. Urban land-atmospheric modeling

2.1. Urban land surface processes

The accurate predictions of urban land surface processes are of primary importance for simulating urban land-atmosphere interactions [19]. In this study, an advanced UCM with realistic representation of urban design and improved parameterization of urban surface energy and water budgets are adopted [29]. Here, the urban area is represented by the common two-dimensional (2D) street canyon (the "big canyon" representation) with the consideration of both grass and trees on the street [29,30], as shown in Fig. 1. It is noteworthy that recent studies of urban microclimate adopted three-dimensional (3D) representation of urban geometry in order to resolve the detailed physics of flow in street canyons using computational fluid dynamics (CFD) [48–50] or local climate zone (LCZ) models [51]. It was found that the 3D canyon geometry facilitates the numerical simulation of physics of turbulence in the canopy layers, particularly at building-resolving to neighborhood scales. On the other hand, the current study, by coupling the UCM and atmospheric dynamics, focuses to capture the coupled energy and moisture (humidity) transport at larger (city to regional) scales, to provide useful information for more sustainable urban planning

strategies. A detailed representation of building geometry at these scales will be quite costly using CFD models.

The governing energy balance equation on the urban land surface is given by Ref. [31].

$$R_n + A_F - G_0 = H_s + LE_s \tag{1}$$

where R_n is the net radiation ($R_n = S_d + L_d - S_u - L_u$, with *S* and *L* denoting shortwave and longwave radiation components, and the subscript *d* and *u* indicating downward and upward directions, respectively), A_F is the anthropogenic heat and moisture fluxes, G_0 is the conductive heat flux into ground, H_s and LE_s are the turbulent sensible and latent heat fluxes arising from urban surface layer. The left hand side expression of Eq. (1), i.e. ($R_n + A_F - G_0$) is called the available energy, which is partitioned into turbulent fluxes (H_s and LE_s) at the land surface, as shown on the right hand side. Different urban vegetation forms will alter surface energy balance in different ways: the grass cools the urban surface by altering surface energy partitioning, i.e. increasing latent heat fluxes and reducing sensible heat fluxes; the tree cools the urban surface by reducing the total available energy, specifically the net radiation through radiative shading.

2.1.1. Surface energy balance for mesic urban vegetation

The total sensible (H_s) and latent heat (LE_s) fluxes arising from the urban surface is the aggregation of heat fluxes arising from individual urban facets in the canopy layer, viz. roofs, walls, and ground surfaces,

$$H_s = \frac{x_R}{x_R + x_G} H_R + \frac{x_G}{x_R + x_G} H_{can}$$
(2)

$$LE_s = \frac{x_R}{x_R + x_G} LE_R + \frac{x_G}{x_R + x_G} LE_{can}$$
(3)

where x_R and x_G are the roof width and road width respectively, H_R and LE_R are the sensible and latent heat fluxes arising from roofs, H_{can} and LE_{can} are the sensible and latent heat fluxes arising from street canyons, which are then given by

$$H_{can} = \frac{2x_W}{x_G} H_W + H_G \tag{4}$$

$$LE_{can} = LE_G \tag{5}$$

where x_W is building height, H_W and H_G are the sensible heat fluxes from wall and ground surfaces respectively, LE_G is the latent heat fluxes from ground surface. Since we assume no vegetation cover on walls, the latent heat fluxes from ground vegetation (i.e. lawn) is the only source of latent heat flux in the street canyon.

The sensible heat flux from a generic canyon facet (i) is parameterized as [32,33],

$$H_i = \frac{c_p \rho_a(T_i - T_{can})}{r_a},\tag{6}$$

where ρ_a is the air density, c_p is the specific heat capacity of the air, T_i is the surface temperature of an canyon facet i (i = G, W, R), which can be ground, wall, or roof, T_{can} is air temperature in the street canyon, r_a is the aerodynamic resistance between canyon facets and air. The latent heat flux from ground (specifically lawn) is parameterized as [29].



Fig. 1. Schematic of urban land configuration and processes represented by a two-dimensional big canyon: xeric and mesic landscapes are represented by irrigated lawns and shade trees respectively.

$$LE_G = \beta_e \frac{L_\nu \rho_a (q_G^* - q_{can})}{r_a + r_s},\tag{7}$$

where L_v is the latent heat of water vaporization, q_G^* is the saturated specific humidity above grass surface, q_{can} is the specific humidity of the air in the urban canyon, r_s is the stomatal resistance, and β_e is a potential evaporation reduction factor, which reflects the constraint on actual evaporation by soil water availability and can be parametrized as [34].

$$\beta_e = \frac{W - W_r}{W_s - W_r} \tag{8}$$

where *W* is the volumetric soil water content, and W_s and W_r are the saturated and residual soil water content respectively. For well-irrigated lawns, β_e is close to 1 since the soil water will always be saturated with $W = W_s$.

Driven by meteorological variables in the surface layer (slightly above rooftop level but lower than the bottom of the mixed layer), i.e. solar radiation, air temperature, air humidity, wind speed, etc., the UCM is capable of predicting the energy and water transport processes on the urban surface, such as surface temperature, net radiation, sensible and latent heat fluxes as well as soil moisture. For model evaluation, we use surface energy fluxes measured at an eddy covariance (EC) tower, located at Maryvale, west Phoenix (33.48 N, 112.14 W) [35] (Fig. 2a, see Table 1 for more site information). In addition, Table 2 lists the detailed instrumentation of all three sites, with all sensing instruments pre-calibrated by manufacturers before deployment and subject to regular on-site calibration. The EC tower has a height of 22.1 m and measures meteorological variables with 10 Hz frequency. The raw 10 Hz tower data were collected by Campbell high frequency data logger and post-processed through the EdiRe software platform. Prior to analysis, the data quality was controlled through signal de-spike, natural wind coordinate alignment, sensor lag offset, frequency response correction, etc. [35]. Predicted and measured net radiation, sensible heat, and latent heat are shown in Fig. 2b and c for typical pre-monsoon (June 13-19, 2012) and monsoon (July 25-31, 2012) seasons. The root mean square errors (RMSE) of R_n , H_s , and LE_s are 25, 40, 20 W m⁻² for the pre-monsoon season and 42, 49, $35~W~m^{-2}$ for the monsoon season, respectively. For comparison, it was reported in Ref. [36] that the mean RMSE of 31 existing urban energy balance models are 28, 66, and 51 W m⁻² for R_n , H_s , and LE_s respectively, where model performance is generally the best for the net radiation and the worst for the latent heat. The current UCM is of good accuracy especially in terms of the latent heat prediction. Furthermore, the current UCM is applied to estimate surface temperatures for multiple pavements, including asphalt, concrete, and artificial green turf at the CR site in Phoenix (33.44 N, 111.92 W) (see Fig. 2d and Tables 1 and 2 for more details). The simulated surface temperatures were compared against field measurements using a wireless meteorological network [29] for the period December 23–28, 2012 as shown in Fig. 2e. The RMSE values for asphalt, concrete and green tur are 0.8, 0.8, and 1.7 $^{\circ}$ C, respectively.

2.1.2. Parameterization of tree shading

Due to the compound shading effects of trees and walls, the radiative exchange inside the street canyon will be difficult to solve using analytical solution [37]. Here, we adopt a stochastic "raytracing" method based on Monte Carlo algorithm to capture the radiative exchange processes inside the street canyon with shade trees [30]. For simplicity and without much loss of generality, we make the following assumptions: (1) the total incoming radiation is composed of bundles of rays with random directions and the trajectory of each ray is separately generated and traced, with its emitting directions generated by random numbers; (2) The presentation of tree crowns is simplified using cylindrical geometry with a radius of R_t , in the 2D big canyon, as shown in Fig. 1; and (3) The dimensions of tree trunks are much smaller as compared to tree crowns, and their ray-blocking effect is negligible. Other dimensions for the geometric presentation of trees in the street canyon include the vertical perpendicular distance from tree crown center to the ground h_t , and the horizontal perpendicular distance from tree crown center to the nearest wall d_t (see Fig. 1).

To trace a radiative ray emitted from each canyon facet, the direction of an emitted ray from a surface (surface *i*) is determined by the polar angle θ_i and the azimuth angle η_i , which are given by

$$\theta_i = 2\pi R_\theta \tag{9}$$

$$\eta_i = \arcsin\left(\sqrt{R_\eta}\right) \tag{10}$$

where R_{θ} and R_{η} are independent random numbers. This emitted ray will be traced along a randomly generated direction using Monte Carlo algorithm. If absorbed by a generic surface *j*, it is counted into the view factor F_{ij} . Since all reflections between six canyon and tree facets (i.e. sky, ground, two facing walls, and two symmetric tree crowns) are considered, both *i* and *j* range from 1 to 6 ($i \neq j$). We further assume that all participating facets in the radiative heat exchange are Lambertian and gray, and all radiative reflections (shortwave or longwave) are diffuse in nature. Once the view factors between urban facets are determined, the radiative heat exchange for both shortwave and longwave radiation can be readily obtained [29,32,38].

Physically, with shade trees in the street canyon, the rays emitted from a generic canyon facet (road, walls or the sky) are possibly intercepted by tree crowns, which effectively reduces the view factors between all canyon surfaces (the shading effect).



Fig. 2. (a) The eddy covariance tower at Maryvale, west Phoenix, and sample comparison of energy fluxes from UCM predictions and field measurements by the EC tower for (b) the pre-monsoon (June 13–19, 2012) and (c) the monsoon (July 25–31, 2012) seasons; and (d) field measurement over different pavement types, viz. asphalt, concrete, and artificial green turf, and (e) comparison of measured and model predicted pavement surface temperatures, at the CR site.

Table 1

Description of the experiment sites.

Site name	Installation date	Period	Site description Site location	Measurements used in this study
EC tower	November 2011	June 13–19, 2012; July 25–31, 2012	CAP LTER flux tower (33.48 N, 112.14 W) [35]	R_n, H_s, LE_s
CR pavement	August 2012	December 23–28, 2012	Network of wireless (33.44 N, 111.92 W) meteorological stations [29]	S_d , T_a , T_s , RH , W_{sp}
ESRL/PHX	1946	July 2, July 9, 2013	NOAA/ESRL (33.45 N, 111.95 W) radiosonde station [43]	$ heta_{\mathbf{v}}, q$

Besides, the location and size of the tree will also influence the view factors. To illustrate, we presented typical view factors from wall to ground (FWG) and wall to wall (FWW) and compare them for different horizontal tree locations d_t , tree trunk heights h_t , and tree crown sizes R_t , one in a turn. The canyon dimensions are: wall height $x_W = 4.5$ m, roof width $x_R = 15$ m, and road width $x_G = 20$ m.

The results of comparison are shown in Fig. 3. In Fig. 3a, by keeping tree size as constant ($h_t = 2 \text{ m}$, $R_t = 2 \text{ m}$) and moving the tree horizontally from the wall, i.e. d_t ranging from 1 m to 3 m, FWG increases while FWW remains nearly constant. The reason is when the tree is farther from a wall, fewer rays emitted from the wall are intercepted by trees and more rays will be received by the ground,

Table 2Instrumentation at experimental sites.

Site	Sensors	Manufacturer/model	Variable measured
EC tower	3D sonic anemometer	Campbell Scientific/CSAT3	Three-dimensional wind velocities, virtual sonic temperature
	Infrared gas analyzer	LI-COR Biosciences/LI-7500	Water vapor and carbon dioxide concentrations
	Temperature-relative humidity sensor/HMP45AC	Vaisala/HMP45AC	Air temperature and relative humidity
	4-component net radiometer	Hukseflux/NR01	Incoming and outgoing short-wave and long-wave radiation
CR pavement	Rain gauge	Davis	Rainfall
	Radiometer	Davis/06450	Solar radiation
	Cup anemometer	Davis/06410	Wind velocity
	Temperature/humidity sensor/EHT-SS1	Decagon/EHT-SS-1	Air temperature
	Infrared temperature sensor	ZyTemp	Surface temperature and soil temperature
ESRL/PHX	Radiosonde	NOAA/ESRL	Vertical distributions of temperature, humidity, and winds



Fig. 3. Variations of view factors (FWG and FWW) for different (a) tree location $d_{t_{t}}$ (b) tree trunk height $h_{t_{t}}$ and (c) tree crown radius R_{t} .

thus resulting in larger FWG. On the other hand, FWW represents the average view factor seen by the two opposite-faced walls. As the size of the tree does not change (h_t and R_t are kept as constant),

roughly the same number of rays is exchanged between the two walls, leading to roughly constant FWW.

By keeping tree location and tree crown size as constant $(d_t = 2 \text{ m}, R_t = 2 \text{ m})$ and changing the tree trunk height h_t from 1 m to 3 m, it is found that both FWG and FWW will decrease first and then increase with the turning point at 2.5 m (Fig. 3b). This nonmonotonic pattern is physical, because when h_t is small, the top of the tree crown is lower than the top of the wall (tree crown is completely within the canyon), a taller tree is more effective in shading the canyon. As h_t increases beyond the limit where tree crown top levels off with the rooftop (in this case $h_t = 2.5$ m), part of the tree crown is outside the canyon and becomes less effective in shading the canyon facet (part of the shade is casted on roof instead of walls or ground), leading to a slight increase in FWG. Lastly, if we keep the tree location and the tree trunk height as constant $(h_t = 2 \text{ m}, d_t = 2 \text{ m})$ and increase the tree crown radius, both the FWG and FWW will decrease, because more rays will be intercepted by the tree with larger tree crown radius (Fig. 3c). With the presence of trees in the street canyon, the view factors between all canyon facets are reduced and the emitted radiative heat received by the canyon facets are decreased, which contributes to the cooling of both walls and ground surfaces.

2.2. Atmospheric boundary-layer dynamics

A typical convective ABL is composed of a surface layer, a mixed layer, and a thin capping inversion layer (i.e. the entrainment zone) [39]. The surface layer conditions, assuming constant fluxes, are parameterized by the UCM. The evolution of temperature and humidity in the mixed layer is governed by the gradient of vertical heat and moisture fluxes along the altitude [40], as

$$\frac{\partial \theta_{\nu}}{\partial t} = \frac{\partial}{\partial z} \left(- \overline{w'} \theta_{\nu}' \right)$$
(11)

$$\frac{\partial q}{\partial t} = \frac{\partial}{\partial z} \left(-\overline{w'q'} \right) \tag{12}$$

where θ_v is the virtual potential temperature, q is the specific humidity, w is the vertical wind speed, z is the altitude, and $\overline{w'\theta'_v}$ and $\overline{w'q'}$ are the kinematic eddy heat and moisture fluxes, respectively. The eddy heat and moisture fluxes in the ABL can be parametrized as [41].

$$-\overline{w'\theta'_{\nu}} = K_h \left(\frac{\partial \theta_{\nu}}{\partial z} - \gamma_h\right) - \left(\overline{w'\theta'_{\nu}}\right)_h \left(\frac{z}{z_h}\right)^3$$
(13)

1/2

$$z_{h} = \begin{cases} z_{h0}^{2} + \frac{(2+4w_{e})}{\gamma_{\theta v}} \left[\Delta \theta_{v,0} z_{h0}^{\frac{1+w_{e}}{w_{e}}} - \left(\frac{w_{e}}{1+2w_{e}}\right) \gamma_{\theta v} z_{h0}^{\frac{1+2w_{e}}{w_{e}}} \right] \left(\widehat{z}_{h}^{-\frac{1}{w_{e}}} - z_{h0}^{-\frac{1}{w_{e}}} \right) \\ + \left(\frac{2+4w_{e}}{\gamma_{\theta v}}\right) \int_{t_{0}}^{t} \left(\overline{w'\theta_{v}'} \right)_{s} dt \end{cases}$$

$$-\overline{w'q'} = K_h \left(\frac{\partial q}{\partial z} - \gamma_q\right) - \left(\overline{w'q'}\right)_h \left(\frac{z}{z_h}\right)^3 \tag{14}$$

where K_h is the turbulent diffusivity, γ_h and γ_q are non-local mixing terms of temperature and humidity, $(\overline{w'\theta_v})_h$ and $(\overline{w'q'})_h$ are the heat and moisture fluxes at the top of the ABL (when $z = z_h$), z_h is the ABL height, which is determined by an analytical formula proposed by Ref. [42] as

where z_{h0} is the initial ABL height, w_e is the entrainment rate at the inversion, $\gamma_{\theta\nu}$ is the lapse rate in the free atmosphere, $\Delta\theta_{\nu,0}$ is the potential temperature difference across the inversion, and \hat{z}_h is a correction term. The detailed parameterization process can be found in Ref. [19].

The lower boundary condition of the mixed layer is given by the heat and moisture fluxes arising from urban surface, i.e. $(\overline{w'q'})_s$ and $(\overline{w'q'})_s$ with the subscript *s* denoting the surface. The surface kinematic eddy fluxes can be converted from surface turbulent fluxes as

$$\left(\overline{w'\theta'}\right)_{s} = \frac{H_{s}}{\rho_{a}c_{p}} \tag{16}$$

$$\left(\overline{w'q'}\right)_s = \frac{LE_s}{\rho_a L_\nu} \tag{17}$$

$$\left(\overline{w'\theta'_{\nu}}\right)_{s} = 0.61\overline{\theta}\left(\overline{w'q'}\right)_{s} + (1+0.61\overline{q})\left(\overline{w'\theta'}\right)_{s}$$
(18)

The upper boundary condition of the ABL (when $z = z_h$) are then given by

$$\left(\overline{w'\theta'_{\nu}}\right)_{z_{h}} = -0.15 \left(\overline{w'\theta'_{\nu}}\right)_{s}$$
(19)

$$\left(\overline{w'q'}\right)_{z_h} \approx 0 \tag{20}$$

Since the boundary conditions of a convective ABL are controlled by surface fluxes, any modification to the urban surface will lead to the changes of atmospheric dynamics in the ABL.

To evaluate the coupled UCM-SCM framework, we obtained experiment dataset of temperature and humidity profiles at Phoenix site (PHX) from NOAA/ESRL radiosonde database (http:// esrl.noaa.gov/raobs/) as well as the surface-layer meteorological data from the CR site at Phoenix, AZ [43]. The surface measurements at CR site were preprocessed for de-spike and gap filling. All atmospheric data in the ESRL Radiosonde database from 1946 to present were subjected to gross error and hydrostatic consistency checks [43]. More experimental information on PHX and CR site can (15)

be found in Tables 1 and 2 Comparison of the simulated and observed profiles of virtual potential temperature and specific humidity on July 9th, 2013, is shown in Fig. 4. It is clear that the model predictions are in good agreement with the measurement. Note that the coupled modeling framework is completely driven by meteorological variables recorded in the urban surface layer (in this case, by wireless meteorological stations at the CR site (33.44 N, 111.92 W)) nearest to the radiosonde site (33.45 N, 111.95 W), including solar radiation (S_d), air temperature (T_a), relative humidity (RH), and wind speed (Wsp). The agreement between predicted and measured temperature and humidity profiles in the ABL not only validates the parameterization of CBL dynamics, but also the accuracy of SLUCM predictions, particularly the total sensible and latent heat arising from the urban area.

3. Results and discussion

In this section, we will use the urban land-atmospheric model (i.e. UCM-SCM) to evaluate the impact of urban vegetation on the urban thermal condition, not only in the surface and near-surface built environment but in the overlying boundary-layer (up to ~2 km in elevation).

3.1. Effects of different urban vegetation forms on land surface conditions

We set the canyon representation for a typical Phoenix residential area with the following dimensions: $x_W = 4.5$ m, $x_R = 15$ m, $x_G = 20$ m, $d_t = 2$ m, $h_t = 2$ m (see Fig. 1). Surface atmospheric forcing data of Phoenix from June 13 to June 19, 2012 were collected from EC Tower site to drive the UCM-SCM. The initial surface temperatures for asphalt road and lawn are 45 and 30 °C respectively. The model also accounts for the difference in water consumption of different vegetation types: irrigation is not applied for xeric trees; whereas for mesic lawns, the initial soil moisture was set as 90% saturated and the lawn is irrigated at 21 pm (local time) every night in the simulation period to maintain saturated soil moisture in the model so that the cooling efficiency of lawns is not restrained by water availability.

To assess the effect of different urban vegetation forms (mesic grass or xeric tree) on urban land surface conditions, four cases with different combinations of urban vegetation forms were studied including: (1) no grass, no tree (i.e. $f_g = 0\%$, $R_t = 0$ m), (2) with grass (irrigated urban lawns), no tree (i.e. $f_g = 50\%$, $R_t = 0$ m), (3) no grass, with tree (i.e. $f_g = 0\%$, $R_t = 1$ m), (4) with both grass and tree (i.e. $f_g = 50\%$, $R_t = 1$ m). Note that per the parameterization schemes of the UCM (Section 2.1), irrigated urban lawns (grass) here represents the mesic urban landscape, while shade trees represent the xeric landscape where little (or no) irrigation is needed and the latent heat associated with ET is negligible.

Since thermal stress is more severe during daytime than nighttime in the study area, we show here a weekly averaged



Fig. 4. Comparison of the predicted and measured vertical distribution of (a) virtual potential temperature and (b) specific humidity at 16:37 pm (LST) on July 9th, 2013, at the NOAA ERSL radiosonde Phoenix site.



Fig. 5. Diurnal evolution of (a) ground temperature T_{C} , (b) canyon air temperature T_{can} , (c) canyon air humidity q_{can} , and (d) thermal comfort index THI from 9 am to 18 pm, averaged from June 13 to June 19, 2012.

daytime ground surface temperatures (T_G), canyon air temperatures (T_{can}), and canyon air humidity (q_{can}) from 9 am to 18 pm in Fig. 5. The completely de-vegetated case (Case 1), as expected, yields the highest canyon air temperature, and lowest humidity (completely dry), while the most vegetated case (Case 4) with both types of vegetation has the lowest ground temperature, lowest canyon air temperature and highest humidity. This is expected and demonstrates that urban vegetation, either mesic or xeric, is effective to mitigate excessive heating in the city. The cases with only one type of vegetation (Case 2 and Case 3) have similar effects on reducing ground temperature and canyon air temperature. Case 2 (with grass only) has lower surface temperature but higher canyon air temperature than Case 3 (with trees only). This is because urban lawns and shade trees have different cooling mechanisms: well-irrigated grass cools the surface and canyon air by reducing surface sensible heat fluxes and increasing surface latent heat fluxes. Shade trees, on the other hand, reduce the urban thermal stress via radiative shading. Note that trees shade not only ground but also walls, leading to less building energy load and waste heat dumped to the street canyon. In addition, the mesic urban landscape (Case 2) yields higher humidity in the canyon via ET, than that of the xeric landscape (Case 3).

In addition, in hot summers of arid regions, urban residents experience thermal discomfort due to intensive solar radiation, high outdoor air temperature, and low relative humidity. There are many different ways for measuring the thermal stress and human comfort conditions in urban areas, by accounting environmental (meteorological), biological, and socio-economic factors [44]. Some widely used indices to quantify thermal comfort include the predicted mean vote index (PMV), the physiological equivalent temperature (PET), etc. [45]. The thermal comfort assessments are usually made by accounting for pedestrian's thermal tolerance and skin temperature. One practical concern of using indices like PMV or PET is that they require subjective data collection such as human body temperatures based on large population.

For simplicity, here we adopt a simple temperature-humidity index (THI) [16], given by

$$\text{THI} = T_a - 0.55 \left(1 - \frac{RH}{100} \right) (T_a - 14.44) \tag{21}$$

where T_a is the air temperature (°C), RH is the relative humidity (%). Reducing THI improves the thermal comfortableness for pedestrians. Note that when THI is higher than 27 °C, people will experience heat fatigue and health risks. Comparison of the THI for the four cases is shown in Fig. 5d, the index exceeds 27 °C around noon in the de-vegetated case, while the THI is less than 27 °C for all vegetated cases despite the vegetation type. The mesic landscape improves the outdoor thermal comfort (smaller THI) by reducing air temperature and increasing air humidity through ET; while the xeric landscape enhances thermal comfort by not only shading the urban land surface, but also directly shading the pedestrians on the street. The direct shading effect on pedestrians, measured by the contrast between the shaded and radiant temperatures as experienced by pedestrians for instance, is not considered here.

3.2. Effects of different urban vegetation forms on the ABL dynamics

The urban landscape modification and land use land cover changes will influence the surface energy and water transport processes, and further alter local hydroclimate due to urban landatmospheric interactions [19]. As the lower boundary of the ABL, any changes in the land surface states will eventually be manifest in the boundary-layer structure and dynamics. A better understanding on the impacts of urban vegetation on the ABL is essential to assess urban vulnerability to climate change [46].

In Fig. 6, three dynamical indicators of the daytime ABL, i.e. the ABL height, the mean virtual potential temperature, and the mean specific humidity in the convective mixed layer were compared for the aforementioned cases in Section 3.1. An urban area without presence of vegetation (a "desert" city, Case 1) exhibits the highest temperature and highest ABL height, consistent to the general boundary-layer theory. Also, both mesic (with irrigated lawns of 50% ground surface coverage, Case 2) and xeric (with shade trees of 1 m radius, Case 3) can effectively lower the mean temperature in the mixed layer. This demonstrates that the cooling effect of urban vegetation is not only capable of reducing temperatures of canyon



Fig. 6. Evolution of (a) ABL height z_{h} , (b) the mean virtual potential temperature θ_{v} , and (c) specific humidity q of the mixed layer from 9 am to 18 pm, averaged from June 13 to June 19, 2012.

facets (associated with the so-called surface urban heat island or SUHI effect), or canyon air (associated with the conventional UHI effect), as shown in Fig. 5, but can penetrate into the convective (daytime) boundary layer overlying the built terrain. Also note that with presence of both mesic and xeric landscapes (Case 4), further cooling of ABL is marginal. As ABL height is primarily dominated by the amount of sensible heat transported from the urban surface layer, which is in turn governed by the surface and canyon temperatures, the evolution of ABL height follows very similar trend to that of the mixed-layer temperature (Fig. 6a and b).

As the humidity in the ABL is concerned, we found it is

Table 3			
The urban surface and atn	nospheric conditions	of 30 different	landscaping scenarios.

Case#	$f_{g}\left(\% ight)$	$R_t(m)$	$Max T_{can} (^{\circ}C)$	Max q_{can} (×10 ⁻³ kg kg ⁻¹)	Mean H_s (W m ⁻²)	Mean LE_s (W m ⁻²)	Max THI (°C)	$\mathrm{Max}\;\theta_{\nu}\left(\mathrm{K}\right)$	Max q (×10 ⁻³ kg kg ⁻¹)	$\operatorname{Max} z_{h}\left(m\right)$
S1	0	0	46.0	4.2	226.7	0.0	28.7	292.3	5.0	1831
S2	10	0	44.8	5.7	212.1	21.5	28.1	292.0	5.1	1775
S3	20	0	43.8	7.1	201.3	41.5	27.7	291.8	5.3	1733
S4	30	0	42.8	9.9	189.7	59.7	27.2	291.5	5.4	1687
S5	40	0	41.9	13.6	180.6	76.1	26.8	291.3	5.6	1650
S6	50	0	41.0	16.5	172.9	89.0	26.4	291.1	5.7	1617
S7	0	0.5	42.7	4.2	188.0	0.0	27.2	291.5	5.0	1680
S8	10	0.5	41.9	8.5	181.4	19.2	26.8	291.3	5.1	1653
S9	20	0.5	40.8	12.6	172.4	34.3	26.3	291.1	5.3	1615
S10	30	0.5	40.0	14.8	166.7	47.9	26.0	291.0	5.4	1591
S11	40	0.5	39.1	16.8	161.6	58.3	25.6	290.9	5.5	1569
S12	50	0.5	38.4	20.3	158.1	66.3	25.3	290.8	5.5	1553
S13	0	1	39.7	4.2	167.3	0.0	25.8	291.0	5.0	1593
S14	10	1	38.7	18.2	157.1	15.9	25.4	290.8	5.1	1549
S15	20	1	38.0	22.8	157.1	25.6	25.1	290.8	5.2	1549
S16	30	1	37.1	25.4	155.1	31.8	24.7	290.7	5.3	1540
S17	40	1	36.7	26.6	154.2	36.8	24.5	290.7	5.3	1536
S18	50	1	36.5	27.3	153.7	40.5	24.4	290.7	5.3	1534
S19	0	1.5	36.7	4.2	160.0	0.0	24.5	290.8	5.0	1562
S20	10	1.5	35.2	21.4	151.5	9.8	23.8	290.6	5.1	1524
S21	20	1.5	34.7	23.0	152.8	14.3	23.6	290.7	5.1	1530
S22	30	1.5	34.2	25.0	153.3	16.9	23.4	290.7	5.1	1532
S23	40	1.5	33.9	26.0	153.4	18.8	23.3	290.7	5.1	1532
S24	50	1.5	33.8	27.4	153.3	20.6	23.2	290.7	5.2	1532
S25	0	2	34.4	4.2	157.6	0.0	23.4	290.8	5.0	1551
S26	10	2	33.2	20.3	153.4	5.6	22.9	290.7	5.0	1533
S27	20	2	32.7	22.7	152.1	8.0	22.7	290.7	5.0	1527
S28	30	2	32.3	25.0	152.1	8.8	22.6	290.7	5.0	1527
S29	40	2	32.1	26.9	152.4	9.2	22.5	290.7	5.1	1528
S30	50	2	31.9	28.0	152.4	9.4	22.4	290.7	5.1	1528

intriguing that the presence of shade trees in urban areas seems to impede the ET process, hence leading to lower humidity level in the mixed-layer (Fig. 6c). This is not non-physical, as shade trees alter the surface energy balance by reducing the amount of available energy (solar radiation) incident on canyon surfaces, which resulted in a simultaneous reduction of turbulent fluxes (sensible and latent heat). Owing to the simplification of tree representation in the street canyon that they do not participate in the exchange of thermal radiative energy with walls and ground surfaces, the blockage of radiation by tree shading is likely to be over-estimated. Further improvement of the UCM is needed for capturing surface energy partitioning inside the street canyon with better numerical accuracy.

3.3. Relative impact of mesic and xeric landscapes

To better assess the impacts of different vegetation types on local outdoor microclimate, we applied the urban landatmospheric framework to simulate more landscaping scenarios (viz. 30 different combination of grass fraction and tree crown radius) as described in Table 3. The grass fraction varies from 0 to 50% with the interval of 5%. The tree crown radius varies from 0 to 2 m with the interval of 0.5 m. The urban surface condition and ABL dynamics of each landscaping scenario were presented and compared in Table 3.

In the urban surface layer, increasing grass fraction or tree sizes cools the canyon air, as presented in Fig. 7a. When tree crown radius is small, the temperature decreases and moisture increases by grass are more significant. When tree crown radius is large, the



Fig. 7. Contour plots of (a) maximum canyon air temperature, T_{can} (K) and (b) maximum canyon air humidity, q_{can} (×10⁻³ kg kg⁻¹) for different combinations of grass fraction and tree crown radius.

Table 4
Comparison of urban surface and atmospheric conditions between 0% grass and 50% grass with different tree crown radius.

	$\Delta \max T_{can}$ (°C)	$\Delta maxq_{can}$ (×10 ⁻³ kg kg ⁻¹)	$\Delta mean H_s (W m^{-2})$	$\Delta meanLE_s (W m^{-2})$	⊿maxTHI (°C)	$\Delta \max \theta_{v}(\mathbf{K})$	$\Delta \max q \; (\times 10^{-3} \; \mathrm{kg \; kg^{-1}})$	$\Delta \max z_h(m)$
S6 - S1	-5.0	12.3	-53.8	89.0	-2.2	-1.2	0.7	-213
S12 – S7	-4.3	16.1	-29.9	66.3	-1.9	-0.7	0.6	-126
S18 - S13	-3.3	23.1	-13.6	40.5	-1.5	-0.3	0.3	-59
S24 - S19	-2.9	23.2	-6.6	20.6	-1.2	-0.2	0.2	-29
S30 - S25	-2.5	23.8	-5.2	9.4	-1.1	-0.1	0.1	-23



Fig. 8. Contour plots of (a) maximum ABL height, z_h (m), (b) maximum virtual potential temperature, θ_v (K), and (c) maximum specific humidity, q (×10⁻³ kg kg⁻¹) for different combinations of grass fraction and tree crown radius.

cooling effects of grass will be restrained. From Table 4, the canyon air temperature difference between 50% grass and 0% grass for various tree crown radiuses, i.e. 0 m, 0.5 m, 1 m, 1.5 m, and 2 m are -5.0 °C, -4.3 °C, -3.3 °C, -2.9 °C, and -2.5 °C respectively. Since the moisture source of canyon air is mainly from grasses, the canyon air humidity will increase with grass fraction. For less grass fraction (when $f_g < 5\%$) and larger tree crown (when $r_t > 1$ m), the air humidity will not change as in Fig. 7b. The canyon air humidity difference between 50% grass and 0% grass for different tree crown radii, i.e. 0, 0.5, 1, 1.5, and 2 m are 12.3, 16.1, 23.1, 23.2, 23.8 kg kg⁻¹, respectively as listed in Table 4. The cooling efficiency and evaporative efficiency of grass are restrained by large tree crown, since the turbulence dissipation on the ground surface has been restrained by lower surface temperature and the Bowen ratio (H_s) LE_s) will be larger with smaller surface temperature [47]. The change of turbulent sensible and latent heat fluxes from 0% grass to 50% grass will be smaller with larger tree crown.

For the overlying atmosphere, the increase of grass fraction or tree size reduces ABL height and virtual potential temperature in the mixed layer. When tree crown radius is large enough $(R_t > 1 \text{ m})$, both the ABL height and the mean temperature in the mixed layer will not be changed with further increasing tree crown radius or increasing grass fraction. This is because of the constraint (lower bound) of the surface sensible heat dissipation efficiency has been reached under significant shading effect. On the other hand, since grass is assumed as the only moisture source, the mean specific humidity in the mixed layer will increase with more grass fraction as in Fig. 8c. Since shade tree will reduce the surface temperature and latent heat flux generation efficiency, the humidity change in the mixed layer will be more significant for smaller trees.

4. Concluding remarks

In this study, a coupled urban land-atmosphere framework was used to model the impact of different urban vegetation on local microclimate, not only within the canopy layer, but extended to the overlying convective boundary layer. It is found that both mesic and xeric vegetation can improve the outdoor thermal environment, via different cooling mechanisms. In a desert city like Phoenix, xeric trees may be preferred to mesic urban lawns for daytime cooling due to: (1) xeric trees can cool the city with less water consumption than lawns; and (2) the cooling efficiency of lawns is constrained by cool surface shaded by xeric trees. In addition to heat stress mitigation, extra humidity evapotranspirated from mesic vegetation also plays an important role in determining the outdoor thermal comfort. Furthermore, urban vegetation effectively alters the surface energy partitioning, which in turn modifies the overlying atmospheric dynamics (ABL height, thermal structure, and abundance of humidity). The ABL height as well as mean temperature and humidity in the ABL are very sensitive to the presence of urban vegetation. The modification of ABL dynamics has profound implications to the local hydroclimate via land-atmosphere interactions, especially under the regional climate changes.

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